



# The molecular basis of social behavior: models, methods and advances

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Elucidating the molecular and neural basis of complex social behaviors such as communal living, division of labor and warfare requires model organisms that exhibit these multifaceted behavioral phenotypes. Social insects, such as ants, bees, wasps and termites, are attractive models to address this problem, with rich ecological and ethological foundations. However, their atypical systems of reproduction have hindered application of classical genetic approaches. In this review, we discuss how recent advances in social insect genomics, transcriptomics, and functional manipulations have enhanced our ability to observe and perturb gene expression, physiology and behavior in these species. Such developments begin to provide an integrated view of the molecular and cellular underpinnings of complex social behavior.

## Addresses

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## Introduction

Social behavior encompasses a diversity of interactions between members of the same species, including courtship, aggression, aggregation and migration. Genetically accessible model organisms, such as *Drosophila*, *C. elegans* and mice, have been used to study the molecular basis of social behaviors such as courtship and aggression [1,2]. However, several social animals, including humans, exhibit more sophisticated interactions and establish hierarchical societies in which individuals assume specific responsibilities that contribute to the success of the group. The molecular, neuronal and physiological mechanisms by which such social structures are established are poorly understood.

Social insects have been valuable models to understand the behaviors of complex animal societies, principally

from ethological and ecological perspectives. Unfortunately, the long generation time of most social insect species and the difficulty of controlled breeding in the laboratory (Table 1) have prevented classical genetic analyses of their behaviors. Progress in social insect genomics and transcriptomics, as well as gene manipulation technologies such as RNA interference (RNAi), now offer opportunities to use these insects to study how genetic and environmental contributions interact to control societal organization.

In this review, we first present a primer on social insects, and then discuss how methodological advances drawn from a range of recent observational and functional studies have increased our understanding of social insect behavior.

## A primer on social insects

The major organizing principle of ant, bee, wasp and termite societies is reproductive division of labor: one or a few queens specialize in reproduction while the workers (which are all females in ants, bees and wasps) participate in co-operative tasks such as building the nest, collecting food, rearing the young and defending the colony (Figure 1 and Table 1). While there is tremendous variation in many aspects of social biology ([3]; Table 1), a central issue is to understand the process of caste determination, whereby genetically identical individuals develop into queens or workers, and if the latter, what type of worker. Caste determination was assumed to depend on environmental factors (e.g. nutrition, pheromone exposure, temperature), but increasing evidence exists for genetic factors affecting this process in several species [4,5,6]. Worker castes, such as ‘nurse’ and ‘forager,’ are not fixed, however, as individuals can change their behavioral states over the course of their lives (age-related behavioral maturation, or polyethism) [7,8].

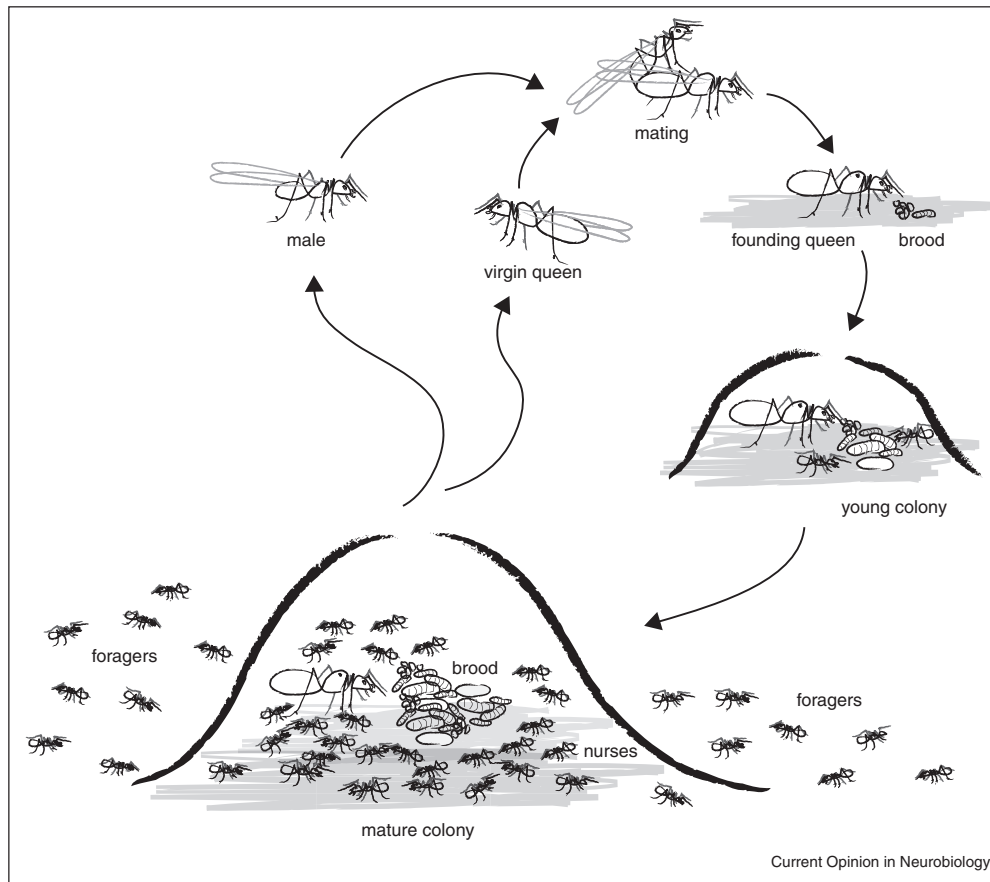
Early investigations of social insect physiology characterized the relationship between caste determination and hormone levels. For example, in ants, high levels of juvenile hormone (JH) in a larva correlate with its development as a queen [9,10], as well as differentiation as a major worker in species with distinct morphological worker castes (Figure 2) [11,12,13]. In honeybees and ants, increasing JH level (naturally, or with JH analogs) causes individuals to cease brood care, leave the nest, and begin foraging (Figure 2) [14–17]. What induces these endocrine changes and how they exert their effects are largely unknown.

Table 1

Variation in characteristics influencing experimental study among genome-sequenced social insects. All species listed have been sequenced [30–39], although the genome for the termite *Zootermopsis nevadensis* is not yet available. *Nasonia vitripennis* is not eusocial, but is a Hymenopteran and thus included for comparative purposes.

| Species                        | Common name               | Number of laying queens within a colony | Queen longevity (years) | Time from founding to first alates/sexuals | Colony size (individuals) | Mating syndrome | Worker reproduction | Controlled crosses | Worker polymorphism | Largest worker (head width, mm) | Neuroanatomical characterization (ref. no.) | Chemosensory repertoire (#ORs/#GRs/#IRs/#glomeruli) |
|--------------------------------|---------------------------|---|-------------------------|--|---------------------------|-----------------|---------------------|--------------------|---------------------|---------------------------------|---|---|
| <i>Harpegnathos saltator</i>   | Jerdon's jumping ant      | 1                                       | 1–2                     | Varies with founding context               | $10^1$ – $10^2$           | Monandry        | Extensive           | Yes                | No                  | 2.08                            | [22]  | 347/17/23/178                                       |
| <i>Linepithema humile</i>      | Argentine ant             | $10^1$ – $10^4$                         | 1                       | Months                                     | $10^6$                    | Monandry        | None                | Yes                | No                  | 0.72                            | None  | 337/97/32/?   |
| <i>Camponotus floridanus</i>   | Carpenter ant             | 1                                       | <10                     | Several years                              | $10^4$                    | Monandry        | Possible            | No                 | Yes                 | 3                               | [21,28,89]                                  | 352/46/31/434                                       |
| <i>Pogonomyrmex barbatus</i>   | Red harvester ant         | 1                                       | >20                     | Several years                              | $10^3$ – $4$              | Polyandry       | Possible            | No                 | No                  | 2.7                             | in <i>P. rugosus</i> [34]                   | 344/61/24/345                                       |
| <i>Solenopsis invicta</i>      | Red imported fire ant     | $1$ – $10^2$                            | <10                     | Several years                              | $10^5$                    | Monandry        | None                | No                 | No                  | 1.4                             | [90]  | 297/?/?/?   |
| <i>Atta cephalotes</i>         | Leafcutter ant            | 1                                       | >20                     | Several years                              | $10^6$                    | Polyandry       | None                | No                 | Yes                 | 6                               | in <i>A. vollenweideri</i> [23,24]          | ?/?/?/440   |
| <i>Acromyrmex echinatior</i>   | Leafcutter ant            | 1–3                                     | >10                     | Several years                              | $10^4$                    | Polyandry       | Possible            | No                 | Yes                 | 2.31                            | [91]  | ?/?/?/398   |
| <i>Apis mellifera</i>          | European/western honeybee | 1                                       | <5                      | Varies with founding context               | $10^3$ – $4$              | Polyandry       | Possible            | Yes                | No                  | 4                               | [92]  | 163/10/10/174                                       |
| <i>Nasonia vitripennis</i>     | Parasitoid wasp           | Asocial                                 | <1                      | Weeks                                      | N/A                       | Mostly monandry | N/A                 | Yes                | N/A                 | 0.74                            | in <i>Cotesia</i> [93]                      | 225/47/10/?   |
| <i>Zootermopsis nevadensis</i> | Dampwood termite          | 1                                       | 2–7                     | Several years                              | $10^1$ – $2$              | Serial monandry | Extensive           | Yes                | Yes                 | 3                               | None  | ?/?/?/?   |

Figure 1



Schematic of a 'typical' life cycle of a social insect colony. After mating (top), a founding queen chooses a suitable site for the nest and begins laying eggs, which she nourishes from her own body reserves. These eggs will produce the first workers who differ significantly in morphology, physiology and lifespan from the queen, and who assist the development of the young colony by foraging and tending to new eggs, larvae and pupae. Over months or years, the number of workers in the colony increases, and eventually the founding queen begins to produce new queens and males. These reproductive individuals fly out of their respective colonies in a co-ordinated manner to mate. Many species-specific variations on this cycle exist: for example, in social Hymenoptera (ants, bees, wasps), males die shortly after mating, while in termites the male and female reproductives found the colony together as king and queen.

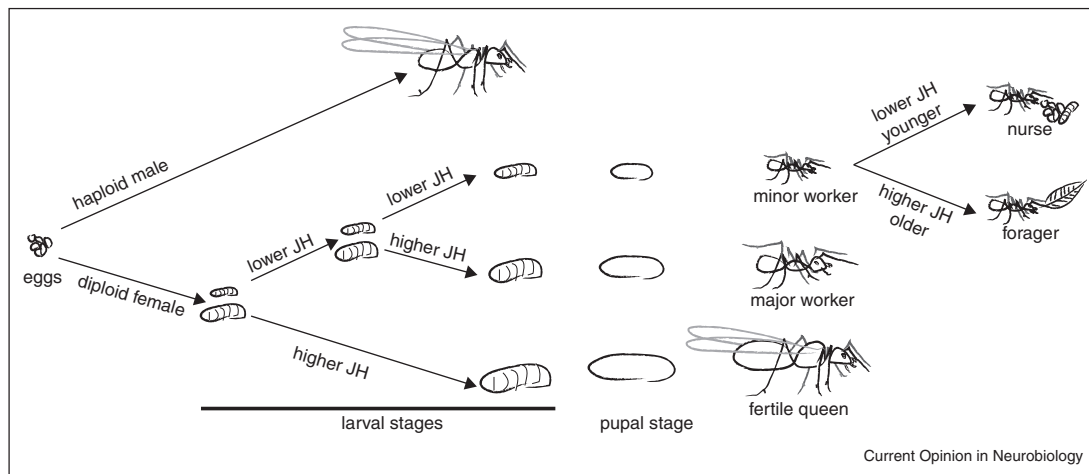
### Physiological and molecular correlations of social insect behavior

How members of a colony co-ordinate their behavior has been addressed by neurochemical, neuroanatomical and — more recently — molecular approaches. Physiological and anatomical studies have focused on detection of pheromones and other environmental chemicals. Visualization of odor-evoked neuronal activation and plasticity in insect brains was pioneered in the honeybee, favored by its relatively large head (Table 1) [18–20]. The neurophysiology and anatomy of the ant olfactory circuits are also beginning to be explored [21–28]. Comparisons of the olfactory pathways between different castes of ants and honeybees have provided some information on how representation of the same stimulus may differ between colony members [24,25,29]. However, understanding the

functional significance of these distinctions will require more specific knowledge of the pheromone signals, the receptors that recognize them, as well as technical innovations to precisely manipulate the functions of the neural circuits.

In the last few years, the molecular basis of social organization has begun to be deciphered through comparative genomics made possible by the sequencing of one bee, three wasp and seven ant species [30–39]. Exploitation of this wealth of information has only just started, but some interesting features are already appearing. For example, all annotated ant, bee and wasp species have several-fold more odorant receptors than most solitary insects (Table 1), suggesting that enhanced olfactory abilities may contribute to complex social organization.

Figure 2



Morphological and behavioral development in social Hymenoptera. Sex is determined through ploidy level. Among the diploid females, queen versus worker fate is affected mostly by environmental factors, and appears to be related to juvenile hormone (JH) levels: the larvae that develop into queens typically have higher JH titers than worker larvae. In species with distinct morphological worker castes, larvae of major workers also have more JH than larvae of minor workers. Most social Hymenoptera also exhibit age-related polyethism, where young workers with low JH levels engage in brood care as nurses but as they age the titers of JH increase and there is a transition to foraging behavior.

Gene expression profiling in social insect brains has revealed that differences in behavior among workers from the same colony are associated with widespread (several 1000) gene expression differences [40,41,42\*,43–46]. Most of this work has been conducted in honeybees, where microarrays have been used to identify genes differentially expressed between nurses and foragers, and between inbred honeybee lines that differ in their aggressiveness. The most robustly differentially expressed genes in nurses and foragers are part of lipid and sugar biosynthesis and degradation pathways. This observation is consistent with both the changing sugar and lipid levels measured during the maturation of nurses to foragers [47\*,48,49], and the close relationship between JH and metabolism in other insects [50,51].

One global finding of these expression experiments was the existence of transcriptional regulatory network modules (i.e. groups of co-regulated genes controlled by similar transcription factors) associated with maturation, aggression and foraging [42\*]. While some network modules are unique to social insects, others show high overlap with known *Drosophila* transcriptional networks. For example, aggressive behavior in both bees and fruitflies appears to be controlled by the same transcription factor network, spearheaded by the gene *Deaf1* [45]. Intriguingly, a second network controlled by *Ultraspiracle* — one of the nuclear receptors for JH (and other insect hormones) that regulates larva-adult transitions in *Drosophila* — also controls behavioral maturation and foraging in honeybees. This finding provides an example of co-option of an ancestral insect developmental

pathway for modulation of behavior changes in social insects [52].

There is little information on the mechanisms underlying differences in gene expression among castes and behavioral groups. Epigenetic modifications are attractive candidates as these could be directly modulated by environmental factors, such as nutrition or stress [53,54]. An analysis of the distribution of methyl cytosines (a well-known epigenetic mark) in the brains of honeybee queens and workers using shotgun bisulfite sequencing revealed that most methylation occurs in highly conserved genes [55]. Approximately 10% of these genes were differentially methylated between queens and workers, indicating that this DNA modification may be an important mechanism of caste determination. Closer examination of the distribution of methyl cytosines within the differentially methylated genes revealed a concentration around alternatively spliced exons, hinting that this epigenetic mark influences expression of caste-specific transcripts. Similar observations were made in the methylomes of several ant species [56,57]. One study also identified cases of monoallelic methylation associated with allele-specific expression [56]. Some of these cases were caste-specific, hinting at the possibility of parental or caste-specific imprinting as a mechanism to specify caste identity.

### Perturbations of social insect physiology and behavior

Although precise genetic manipulations are not yet feasible in social insects, several other types of experimental

perturbation have been employed. An initial approach was to ask how a colony reacts to societal disruption. For example, when all foragers are removed from an ant or bee colony, there is a rapid behavioral shift, with previously specialized nurses beginning to forage [15]. Despite important age and genetic influences on an individual's behavior, such experiments reveal significant flexibility at the level of the colony, with individuals assuming tasks that are in greater demand. Importantly, the shift to foraging is accompanied by an increase in JH titer [14,58] and changes in brain gene expression levels toward patterns typical of foragers [40].

Given the numerous correlations between hormone levels and caste determination among groups of workers, pharmacological manipulation of hormones or their signaling pathways has been a logical and fruitful avenue to test causality between endocrine function and worker development and behavior. The molecule most used is a JH analog, methoprene [59]. When applied to ant or bee larvae, methoprene treatment promotes queen and/or major worker developmental fate, depending on the time of application [9,10,13]. Methoprene treatment of adult honeybee workers also induces a transition to foraging [8], and brain structural changes typically associated with behavioral maturation [60]. Methoprene was also used to demonstrate that JH increases both fertility and the likelihood of a transition to foraging behavior in the primitively eusocial *Polistes* wasp [61].

Another avenue to study the regulation of behavior in colonies is to appropriate the pheromones involved in colony communication. In the termite *Reticulitermes speratus*, queens produce a pheromone that inhibits the differentiation of new fertile females. This pheromone is predominantly composed of two chemicals, n-butyl-n-butyrate and 2-methyl-1-butanol [62]. Synthetic versions of these molecules are sufficient to inhibit queen differentiation [62,63]. Surprisingly, the same two volatiles are also emitted by eggs and can attract sterile workers. How this termite pheromone acts both to suppress fertility recruitment and to control worker recruitment remains to be determined. However, genomic resources in other species, notably the honeybee, have facilitated identification of the receptors and downstream cellular/molecular targets for their particular pheromone cues [44,64], and sequencing of the termite genome should offer similar access.

To perturb gene function directly, RNAi has emerged as a powerful technology. Typically, double-stranded RNA (dsRNA) corresponding to the gene of interest is either injected into the adult abdomen or fed to the entire colony [65,66]. In many insects, dsRNA persists over time and over developmental transitions, indicating that it functions systemically [67,68]. However, the efficiency of RNAi is gene-specific, tissue-specific and species-specific,

requiring careful validation controls. RNAi has been employed in bees [47,65,69–71], wasps [72], ants [73] and termites [66,74,75]. One recent study in honeybees used single and combined RNAi against JH esterase (which degrades JH), Vitellogenin (an endocrine factor that antagonizes JH action) and/or the putative JH receptor Ultraspiracle to show how JH and Vitellogenin levels may co-ordinately modulate gustatory sensitivity and metabolism underlying changes in food preferences [47].

Many genes implicated in social behavior are not genetic novelties of social species but have been co-opted from ancestral pathways also present in solitary insects (e.g. Ultraspiracle, described above). Thus, studies in other model systems provide useful information to understand the biology of social insects. For example, scouting behavior in honeybees — in which individuals seek novel food sources or nest sites — is controlled by conserved neurotransmitter systems (e.g. catecholamines) that are implicated in similar 'novelty-seeking' behavior in *C. elegans* and humans [41,76]. Beyond such general homologies, experimentally tractable model systems can be employed to characterize the function of particular molecules. This approach is illustrated by a study of royalactin, the protein in royal jelly responsible for the development of honeybee larvae into queens [77]. Remarkably, feeding of royalactin to *Drosophila melanogaster* larvae induced their development into adults with several 'queen-like' characteristics: larger size, more rapid development, and increased fertility. Harnessing the genetic knowledge and tools in the fruit fly, royalactin was shown to exert these effects through activation of Epidermal Growth Factor Receptor (EGFR) signaling in the fat body, with evidence that EGFR influences body size through the p70 S6 kinase, developmental rate through the Mitogen Activated Protein Kinase and ovary development by increasing JH levels. Importantly, the relevance of these findings was confirmed by RNAi of homologous honeybee genes. Whether 'queen-like' *Drosophila* also displays altered behavior as a result of rich nourishment remains an intriguing question.

## Conclusions and perspectives

Molecular approaches are revolutionizing our understanding of the biology of social insects, permitting both observation and testing of causal relationships between genes, environmental influences and behavior. We close by considering three priorities for experimental development to allow further advances in our understanding of social behavior in these organisms.

The first is *in vivo* manipulation of gene function. While RNAi is proving useful, it is limited in spatial and temporal resolution. Heritable transgenic manipulation of social insects with Zinc-Finger Nucleases or TALENs [78,79] remains a distant goal, but short-term, tissue-specific transgenic manipulation using viral vectors may



be possible [80]. Initial progress in introducing exogenous DNA elements into neurons using baculoviruses has been reported in the honeybee [81]. Once the (significant) hurdle of efficient and selective *in vivo* DNA transformation is overcome, the experimental potential for anatomical tracing, physiological recording, gene inhibition and misexpression is enormous.

Second, the relative ease of genome sequencing should be further exploited for both cross-species comparisons and finer-scale analyses. One interesting application will be to identify the genetic basis of natural social polymorphisms. An excellent candidate for study exists in the fire ant, *Solenopsis invicta*, where a single Mendelian-inherited locus controls whether a colony will tolerate one queen or multiple queens [82,83]. We note that important behavioral genetic insights in *Drosophila* and *C. elegans* have come from identification of loci underlying natural behavioral polymorphisms [84,85].

Finally, our ability to explain and manipulate social behavior will only be as good as our ability to observe and measure it. High-resolution, quantitative analysis of behavior in social insect colonies will be necessary, and this requires the recognition of distinct individuals. Automated tracking systems developed for *Drosophila* [86] are not practical for social insects because workers live in too high densities and frequently walk on top of each other, resulting in mixed identities. Radio-frequency identification systems have been used to recognize individual ants and wasps passing at given locations [87,88]. While it is useful to study who is foraging in a colony or whether individuals visit foreign nests, such systems do not permit study of an individual's behavior or infer who is interacting with whom in a nest. A new system based on fiducial identification labels that allow automated video-tracking of all the individuals in a colony over long periods of time has been developed (D. Mersch, A. Crespi and L. Keller, unpublished). This system may allow characterization of individual and group behaviors of social insects reared in two-dimensional nests, such as ants. The possibility to combine precise quantitative behavioral data with social, pharmacological and gene expression manipulations promises to reveal previously unattainable insights into the molecular and cellular basis of social insect behavior.

### Note added in proof

After this review was accepted, a comprehensive phylogenetic and expression analysis of chemosensory receptors in two ant species was published [94].

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